Guide for Doctoral Degree Application
(Course Doctorate)

Graduate School of Natural Science and Technology,

Shimane University

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Place of Submission / Contact

Address: 1060 NISHIKAWATSU-CHO, MATSUE-SHI, SHIMANE-KEN 690-8504 JAPAN
Academic Affairs Division, Matsue Faculties Administration Department
(Reception Counter No.4 on 1st floor of Student Center)
TEL: +81 (0)852-32-6835 FAX: +81 (0)852-32-6059
E-mail: ns-gakumu@office.shimane-u.ac.jp

※Click here to download the application forms.
(If you are reading this in print, scan the QR code.)

I　Types of Degrees

There are two types of doctoral degrees available at the Graduate School of Natural Science and Technology:　Doctor of Philosophy in Science and Doctor of Philosophy in Engineering, depending on the nomenclature of the field of study.

In addition, as the acquisition category, there are the doctoral degree conferred upon completion of a program (hereinafter, the "Course Doctorate") and the doctoral degree conferred upon submission of a doctoral thesis (hereinafter, the "Thesis Doctorate").

“Course Doctorate” is conferred to students who have been enrolled in the Doctoral Course of the Graduate School of Natural Science and Technology for at least three years, have earned at least 12 credits as stipulated in the regulations of the Graduate School, have received the necessary research guidance, and have passed the doctoral thesis assessment and final examination.

"Thesis Doctorate" is conferred to those who have submitted a doctoral thesis, passed its assessment, and have been verified as having scholarly ability equivalent or superior to those who have completed a doctoral program.

II　Procedures for Application for Course Doctorate

1 Application for Preliminary Assessment

Those who wish to apply for a doctoral degree must obtain approval from their main academic advisor, complete the following documents and submit them to the Dean of the Graduate School via the Academic Affairs Division for preliminary assessment.

(1) Application Documents

① Preliminary Assessment Application (Appended Form No.1) …… 1 copy

② Doctoral Thesis Draft …… 3 copies
(Written horizontally from left to right on A4 Paper in Japanese or English)

③ Doctoral Thesis Abstract (Appended Form No.2) …… 3 copies

④ List of Publications (Appended Form No.3) …… 3 copies

⑤ Related Publications …… 3 copies

⑥ Curriculum Vitae (Appended Form No.4) …… 1 copy

【NOTE】

・The following documents are required for any related publications that are co-authored.

(i) Co-author Consent Form (Form 1) or Confirmation Form (Form 3) …… 1 copy

(ii) Abstract of Co-authored Paper (Form 2) …… 1 copy

In addition to the above, for peer-reviewed publications, attach materials indicating that the publication is peer-reviewed (e.g., a copy of the acceptance letter or submission rules) and, if the date of submission is not indicated, attach a document indicating the date of submission.

(2) Application Period

In principle, the application period for the preliminary assessment shall be from November 1 to November 20 (for those who are scheduled to complete their studies in September, from May 1 to May 20. In both cases, Saturdays, Sundays, and holidays are excluded. However, if the 20th falls on a weekend or holiday, the final day shall be on the 21st (if the 21st is also a weekend/holiday, then on the 22nd; if the 22nd is also a weekend/holiday, then the final day shall be on the 19th).

(3) Notification of Preliminary Assessment Results

The Preliminary Assessment Results will be notified to the Preliminary Assessment Applicant by means of Preliminary Assessment Results Notification (Appended Form No. 8).

If your degree application is approved as a result of the preliminary assessment, you can apply for the Doctoral Thesis Assessment. Even if your degree application is not approved, you may revise your thesis and apply for Preliminary Assessment again.

2 Application for Doctoral Thesis Assessment

If your degree application is approved at the preliminary assessment, you can submit the following documents to the Dean of the Graduate School via the Academic Affairs Division after obtaining approval from your main academic advisor.

(1) Application Documents

① Doctoral Thesis Assessment Request Form (Appended Form No. 9) ..... 1 copy

② Doctoral Thesis (1 volume) ..... 5 copies
(Written horizontally from left to right on A4 Paper in Japanese or English)

③ Doctoral Thesis Abstract (Appended Form No.2) ..... 5 copies

④ List of Publications (Appended Form No.3) ..... 5 copies

⑤ Related Publications ..... 5 copies

⑥ Consent Agreement for Depositing Doctoral Dissertation ..... 1 copy

⑦ Application for the English-translated Diploma of the Doctor's Degree ..... 1 copy

【NOTE】

・The following documents are required for any related publications that are co-authored. Those documents which were already submitted for preliminary assessment are not required for submission.

(i) Co-author Consent Form (Form 1) or Confirmation Form (Form 3) ..... 1 copy

(ii) Abstract of Co-authored Paper (Form 2) ..... 1 copy

In addition to the above, for peer-reviewed publications, attach materials indicating that the publication is peer-reviewed (e.g., a copy of the acceptance letter or submission rules) and, if the date of submission is not indicated, a document indicating the date of submission. If a Related Publication has neither been published nor accepted for publication at the time of application, the applicant must submit documentary evidence that it has been submitted. In addition, the applicant must submit

documentation confirming that the publication has been published or accepted for publication no later than seven days (excluding holidays) prior to the doctoral thesis defense prescribed in Article 16 of the Detailed Regulations.

- Refer to "III Deposition of Doctoral Thesis" from page 21 for "⑥Consent Agreement for Depositing Doctoral Dissertation".
- Applicants who apply for the conferral of a doctoral degree more than one year after withdrawal after completing credits must pay the thesis assessment fee (currently 58,055 yen) during the application period. The bank account and other details will be notified to those who have passed the preliminary assessment.

(2) Application Period

In principle, the application period for the preliminary assessment shall be from January 1 to January 20 (for those who are scheduled to complete their studies in September, from July 1 to July 20. In both cases, Saturdays, Sundays, and holidays are excluded. However, if the 20th falls on a weekend or holiday, the final day shall be on the 21st (if the 21st is also a weekend/holiday, then on the 22nd; if the 22nd is also a weekend/holiday, then the final day shall be on the 19th).

3 Requirements and Criteria for Doctoral Degree Application

The Graduate School has established the following requirements and criteria for doctoral degree applications. However, since there are some peculiarities and differences among academic fields, consult your main academic advisor thoroughly before applying for a doctoral degree.

(1) Applicants who are students in the Doctor Course of the Graduate School of Natural Science and Technology and meet one of the following requirements can apply for the assessment of a doctoral thesis.

① Those who have earned at least 12 credits as stipulated in the regulations of the Graduate School, and have received the necessary research guidance.

② Those who have been enrolled in the Doctoral Course of the Graduate School of Natural Science and Technology for at least three years, have earned at least 12 credits as stipulated in the regulations of the Graduate School, and have received the necessary research guidance

(2) The doctoral thesis must be original, created based on research papers published or due to be published, in a scientific journal with a credible peer review system, or research papers deemed to be equivalent to the above.

(3) The Related Publications (research papers that form the basis of the doctoral thesis) must be, in principle, research papers that have already been published, or accepted for publication, at the time of application. They must fulfill the following criteria.

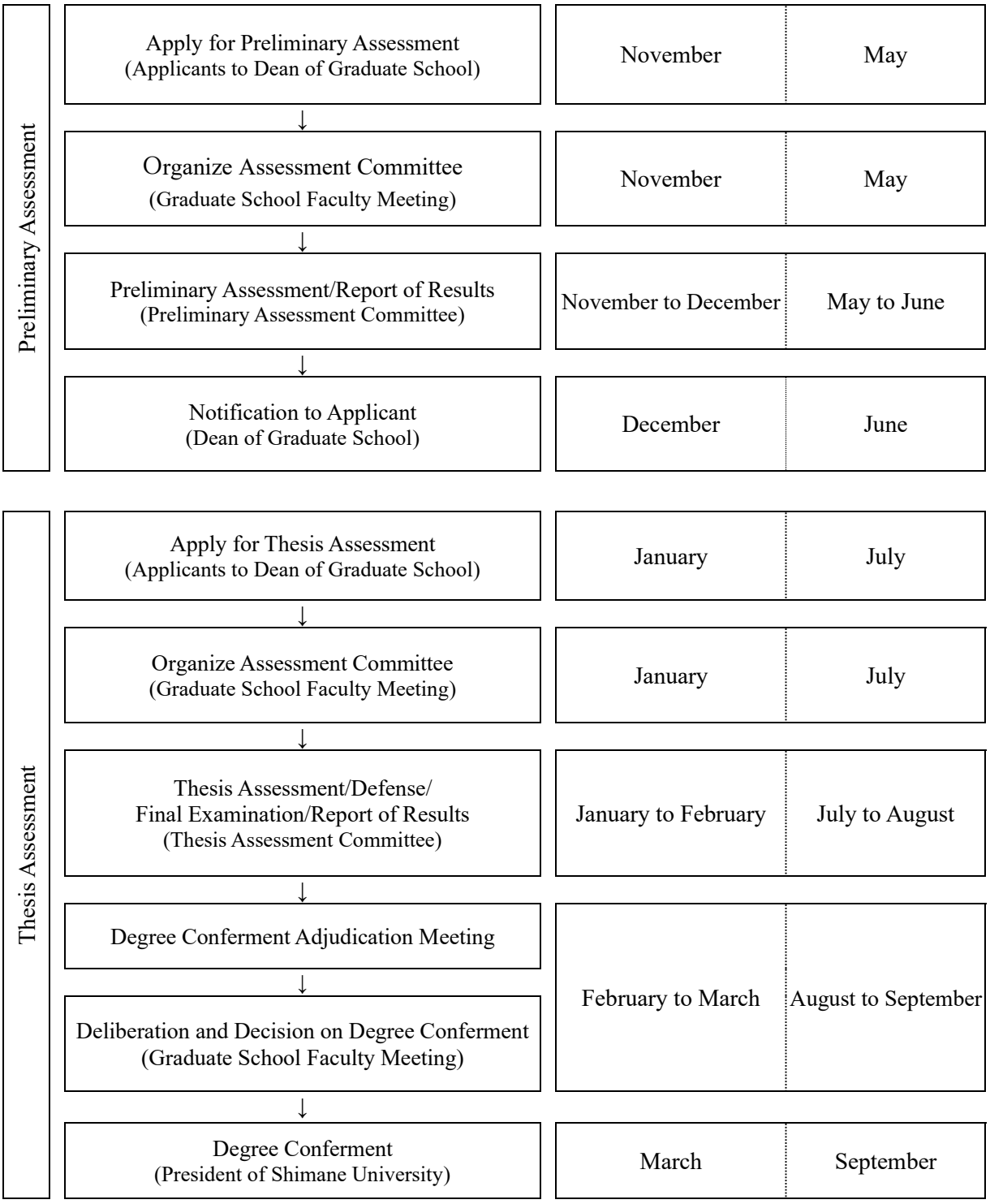
Department	Criteria Pertaining to Number of Related Publications
Information Systems Design and Data Science Physics and Materials Science Mechanical, Electrical and Electronic Engineering Earth Science Environmental and Sustainability Sciences Chemistry Architectural Design	At least two of the related publications must be peer-reviewed. The degree applicant must be the first author or equivalent of at least one of these papers and deemed to have written the said research paper after entering the Doctor Course of the Graduate School of Natural Science and Technology.
Mathematics Life Sciences	At least one of the related publications must be peer-reviewed. The degree applicant must be the first author or equivalent and deemed to have written the said research paper after entering the Doctor Course of the Graduate School of Natural Science and Technology.

For peer-reviewed publications, attach documents indicating that the publication is peer-reviewed, such as copies of acceptance letters and submission rules. If no submission date is indicated, attach documents indicating the date. If a Related Publication has neither been published nor accepted for publication at the time of application, the applicant must submit documentary evidence that it has been submitted. In addition, the applicant must submit documentation confirming that the publication has been published or accepted for publication no later than seven days (excluding holidays) prior to the doctoral thesis defense prescribed in Article 16 of the Detailed Regulations.

(4) Pertaining to co-authored papers among the Related Publications, the applicant must have taken the principal role in the research, and the paper must not have been submitted to any university as a doctoral thesis by any of the co-authors. In addition, upon application, submit "Abstract of Co-authored Paper" clearly stating the scope of the applicant's research and "Co-author Consent Form".
If one of the co-authors has a doctoral degree, a "Confirmation Form" from the main academic advisor may be substituted for the "Co-author Consent Form," so consult with your main academic advisor.

(5) In addition to the thesis described in (3) above, also submit reference publications that are not directly related to the doctoral thesis but will be used as references during the assessment as instructed by your main academic advisor.

4 Flowchart of Degree Conferral



5 Guidelines for Preparing Documents

(1) Requirements Common to All Documents

① If the title of your doctoral thesis is written in Japanese, write the English title below the Japanese one in the parentheses "(　　)".

② If two or more sets of documents are required, you may make copies. Make sure that they have long-lasting durability.

③ All documents requiring a seal must be stamped using the same seal with red ink. Applicants who do not have a seal may use their signature.

(2) Guidelines for Preparing Preliminary Assessment Application Documents

① Preliminary Assessment Application

(a) Fill in the required information and submit the form with the approval stamp of your main academic advisor.

(b) Fill in the name of the major (name of degree) you are applying for after consulting with your main academic advisor.

(c) The paper size shall be A4, in portrait orientation.

Appended Form No. 1 (Relevant to Article 6; Course Doctorate)

Preliminary Assessment Application

Date:

To: Dean of Graduate School of Natural Science and Technology, Shimane University

Academic Advisor's Approval (Seal)	

Applicant Information

Year of Entry:

Major in Science and Engineering for Innovation, Doctor Course, Graduate School of Natural Science and Technology, Shimane University

Course Department

Name: (Seal)

On the basis of the provisions of Article 6 of the Degree Regulations of Shimane University: Detailed Regulations for the Graduate School of Natural Science and Technology, Doctor Course, I hereby append the following relevant documents and apply for a preliminary assessment of my doctoral thesis.

1. Application Documents

Doctoral Thesis Draft	3 copies
Doctoral Thesis Abstract	3 copies
List of Publications	3 copies
Related Publications	3 copies
Curriculum Vitae	1 copy

2. Degree Applied for (In parentheses: Major)

Doctor of Philosophy in ( )

② Doctoral Thesis Draft

(a) The Draft must have sufficient content worthy of a doctoral degree application.

(b) The Draft should be a manuscript of your doctoral thesis (if detailed content is ready) or a 20-page document that fully clarifies the content of the thesis including the table of contents of the thesis and an outline of each chapter of the thesis.

(c) The form shall be A4 portrait type, written horizontally from left to right in Japanese or English.

(d) The Draft should be typed as a rule. If handwritten, write carefully in block letters.

③ Doctoral Thesis Abstract

(a) The Abstract is a summary of the thesis indicating that it is worthy of a doctoral degree application.

(b) The form shall be A4 portrait type, written horizontally from left to right in Japanese or English.

(c) It should be approximately 2,000 characters if written in Japanese, or approximately 800 words in English.

(d) If figures, tables, and/or chemical symbols are included, they should be clearly readable. Keep the size of figures and tables to the minimum necessary.

Appended Form No. 2 (Relevant to Articles 6 and 12; Course Doctorate)

Doctoral Thesis Abstract

Major in Science and Engineering for Innovation, Doctor Course, Graduate School of Natural Science and Technology, Shimane University

Course Department

Name:

Title of Thesis	

Thesis Abstract

④ List of Publications (Refer to the sample on Page 10.)

(a) Related Publications are academic publications that served as the basis of the doctoral thesis. Reference Publications shall be academic publications that are not directly related to the doctoral thesis but will be referred for the assessment.

(b) List separately peer-reviewed and non-peer-reviewed papers for both Related Publications and Reference Publications.

(c) For unpublished papers, indicate “Accepted” if the paper has been accepted, or indicate "Under Review" if the paper is in submission.

For those papers that are “Accepted,” attach evidence such as a copy of the acceptance letter.

(d) The paper size shall be A4, in portrait orientation.

⑤ Related Publications

Related Publications shall be reprints or copies of related and reference publications.

Those papers scheduled for publication or are in submission should be copies of those manuscripts.

⑥ Curriculum Vitae (Refer to the sample on Page 11.)

(a) Write your name as appeared in the family register. Applicants who do not use Kanji characters should write their name in English, in the order of family name, middle name (if any), and given name.

(b) Non-Japanese nationals should enter their nationality.

(c) In the Educational History, in principle, fill in your educational history in chronological order starting from high school graduation.

(d) In the Employment History, list your past employment together with the name of the company/organization and your job title for each full-time work. Also, list the part-time positions if they are related to education or research. If you have no employment history, indicate “None”.

(e) In the Research Experience, write the research experience you have conducted as a research student, or as a researcher at a company, including research projects, training, survey, and academic grants/fund which can be referred to in the degree assessment. If you have no research experience, indicate “None”.

(f) In the Reward / Disciplinary Action, enter any awards received from academic societies that should be noted in your degree application. If you have no awards or disciplinary action, indicate “None”.

(g) Date should be the date of application.

(h) The paper size shall be A4, in portrait orientation.

(Sample)

Appended Form No. 3 (Relevant to Articles 6 and 12; Course Doctorate)

List of Publications

Applicant	Major in Science and Engineering for Innovation, Doctor Course, Graduate School of Natural Science and Technology, Shimane University Course: Department: Name: (Family Name), (Given Name)

1. Title of Thesis (for which Doctorate is sought)
○○○○○○○○○○○○○○○○○○○○○○○○
(Title in Japanese: ○○○○○○○○○○○○○○○○○○○○○○○○)

2. Published Papers
(1) Related Publications
(a)Peer-reviewed academic publications
Title: ○○○○○○○○○○○○○○○○○○○○○○○○
Author(s) (List all authors) : □□□□, □□□□, □□□□
Name of Journal (Volume, No., Pages)
△△△△△△△ (8, 20, 130 to 145)
Month and Year of Publication December 2021

(b)Non-peer-reviewed academic publications
Title: ○○○○○○○○○○○○○○○○○○○○○○○○
Author(s) (List all authors) : □□□□, □□□□, □□□□
Name of Journal (Volume, No., Pages)
△△△△△△△ (3, 4, 67 to 83)
Month and Year of Publication April 2022 (Accepted)

(2) Reference Publications
(a)Peer-reviewed academic publications
Title: ○○○○○○○○○○○○○○○○○○○○○○○○
Author(s) (List all authors) : □□□□, □□□□, □□□□
Name of Journal (Volume, No., Pages)
△△△△△△△ (5, 14, 89 to 101)
Month and Year of Publication November 2020

(b)Non-peer-reviewed academic publications
Title: ○○○○○○○○○○○○○○○○○○○○○○○○
Author(s) (List all authors) : □□□□, □□□□, □□□□
Name of Journal (Volume, No., Pages)
△△△△△△△ (12, 3, 197 to 212)
Month and Year of Publication April 2022 (Accepted)

(Sample)

Appended Form No. 4 (Relevant to Articles 6 and 28; Course Doctorate and Thesis Doctorate)

Curriculum Vitae

Name	○○○○ ○○○○ Date of Birth: yyyy/dd/mm
Registered Domicile	○○○○ Prefecture Nationality (for non-Japanese)
Current Address	Postal code: 690 - 8504 Tel. ○○○－○○○○－○○○○ 1060 Nishikawatsu-Cho, Matsue-Shi, Shimane-Ken
Dates	Educational History
yyyy/mm/dd yyyy/mm/dd to yyyy/mm/dd yyyy/mm/dd to yyyy/mm/dd yyyy/mm/dd to present	Graduated from ○○ High School Faculty of ○○, ○○ University, Master Course, Graduate School of ○○ of ○○ University Doctor Course, Graduate School of Natural Science and Technology of Shimane University
Dates	Employment History
	None
Dates	Research Experience
yyyy/mm/dd to yyyy/mm/dd	Research student at Graduate School of ○○, ○○ University
Dates	Reward / Disciplinary Action
yyyy/mm/dd	○○ prize in science
I hereby declare that the above is true and correct. Dates: yyyy/mm/dd Name: ○○○○ ○○○○ (Seal)	

(i) Co-author Consent Form

(a) This is required if the related publications (research papers that serve as the basis of the doctoral thesis) include a co-authored paper. For co-authors who have a doctoral degree, the Confirmation Form from the main academic advisor may be substituted for the Co-author Consent Form. Consult with your main academic advisor.

(b) The paper size shall be A4, in portrait orientation.

(Form 1)

Co-author Consent Form

Date: _____

To Dean of Graduate School of Natural Science and Technology, Shimane University

Co-author:

Affiliation and Job Position: _____

Name: _____

Signature: _____

I hereby give my consent to the use of the co-authored paper as part of the doctoral thesis of Mr./Ms. (Name) _____ that is being submitted to Shimane University for his/her doctoral degree application.

I certify that this paper has not been or will not be part of my doctoral thesis.

Title of Paper: _____

_____

Names of Co-authors (List them all): _____

_____

Name of Journal: _____

Volume, No., Pages: _____

Month and Year of Publication: _____

(ii) Abstract of Co-authored Paper

(a) This is required if the related publications (research papers that serve as the basis of the doctoral thesis) include a co-authored paper.

(b) The paper size shall be A4, in portrait orientation.

(Form 2)

Abstract of Co-authored Paper

Name (Signature)
1 Paper Title Names of Co-authors (List them all) Name of Journal Volume, No., Pages Month and Year of Publication
2 Abstract
3 Scope of Research Conducted by Degree Applicant

(ⅲ) Confirmation Form

(a) If the related publications (research papers that serve as the basis of the doctoral thesis) include a co-authored paper, this document may be substituted for the "Co-author Consent Form" for those co-authors who have a doctoral degree. Consult with your main academic advisor.

(b) The paper size shall be A4, in portrait orientation.

(Form 3)

Confirmation Form

Title of Paper: _____

_____

Names of Co-authors (List them all): _____

_____

Name of the Journal: _____

Volume, No., Pages: _____

Month and Year of Publication: _____

I confirmed that each of the co-authors listed below has already acquired a doctoral degree.

Names of Co-authors: _____

_____

Date: _____

Academic Advisor/Research Supervisor

Name: _____ Seal

(3) Guidelines for Preparing Doctoral Thesis Assessment Application Documents

① Doctoral Thesis Assessment Request Form

Appended Form No. 9 (Relevant to Article 12; Course Doctorate)

Doctoral Thesis Assessment Request Form

Date:

To: Dean of Graduate School of Natural Science and Technology, Shimane University

Academic Advisor's Approval (Seal)	

Applicant

Year of Entry:

Major in Science and Engineering for Innovation, Doctor Program, Graduate School of Natural Science and Technology, Shimane University

Course: Department:

Name: (Seal)

On the basis of the provisions of Article 12 of Degree Regulations of Shimane University: Detailed Regulations for Graduate School of Natural Science and Technology, Doctor Program, I hereby append the following relevant documents and apply for an assessment of my doctoral thesis.

1. Application Documents

Doctoral Thesis	5 copies
Doctoral Thesis Abstract	5 copies
List of Publications	5 copies
Related Publications	5 copies

2. Degree Applied for (In parentheses: Major)

Doctor of Philosophy in ( )

② Doctoral Thesis

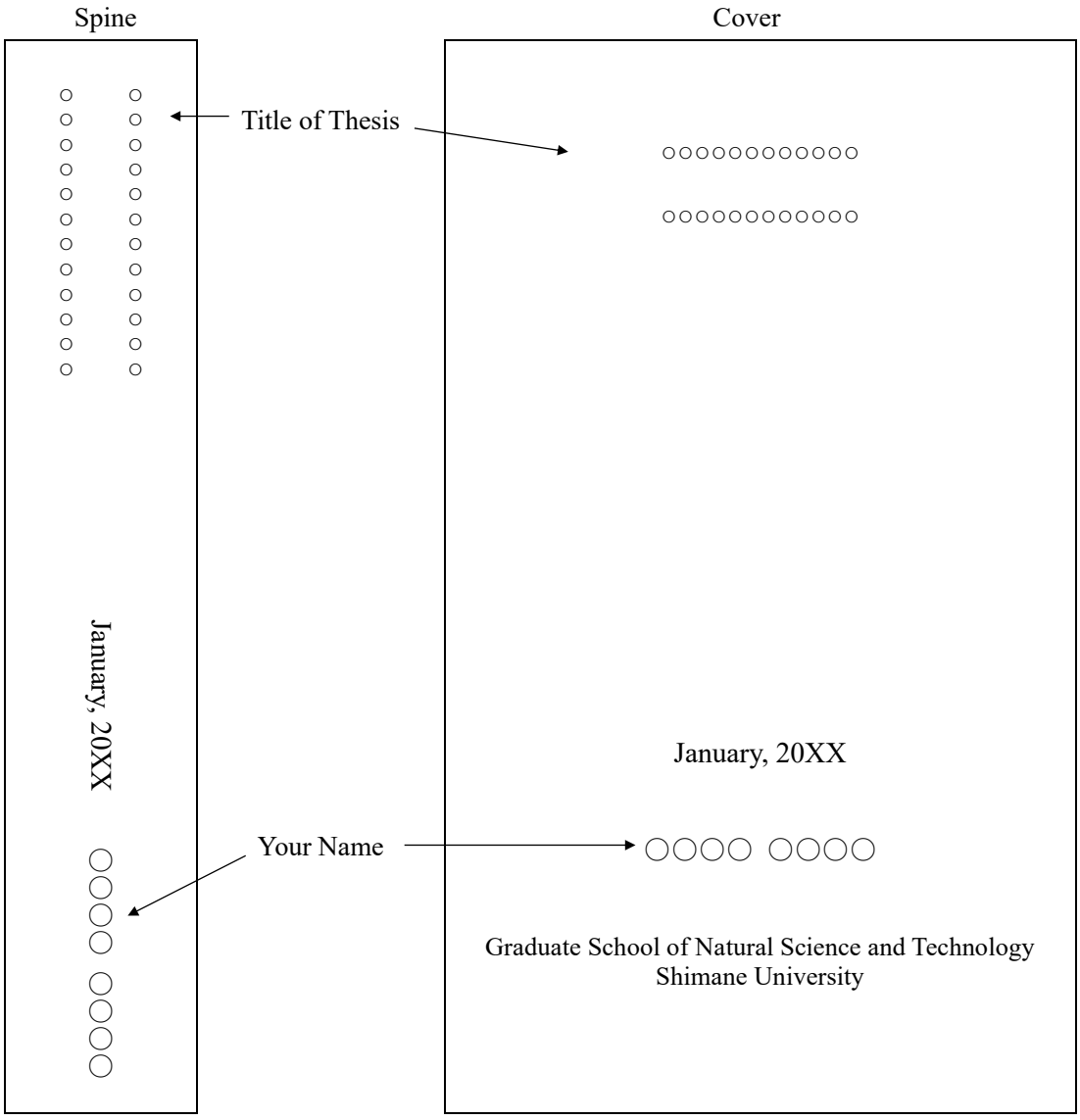
(a) The form shall be A4 portrait type, written horizontally from left to right in Japanese or English.

(b) The Thesis should be typed as a rule. If handwritten, write carefully in block letters.

(c) In principle, doctoral theses should be published on the Internet. However, if it is not possible to publish the thesis on the Internet within one year after you are conferred the degree due to some unavoidable reasons, and the thesis is to be printed and bound, refer to the following sample for preparation. Read "III Deposition of the Doctoral Thesis" from page 21 for information on the materials to be submitted for publication on the Internet. At the time of application, a temporary bound thesis is accepted.

(d) Write the title of your thesis, your name, and other information on the cover and spine of the bound thesis. If you submit your thesis in a temporary binding, also write down the title of the thesis and your name, referring to the sample below.

【SAMPLE】



③ Doctoral Thesis Abstract

(a) The Doctoral Thesis Abstract is a summary of the thesis to indicate that it is worthy of a doctoral degree application

(b) Follow the same procedure as when applying for preliminary assessment.

(c) The standards and forms are the same as those used when applying for preliminary assessment.

④ List of Publications

(a) Follow the same procedure as when applying for preliminary assessment.

(b) The standards and forms are the same as those used when applying for preliminary assessment

⑤ Related Publications

Related Publications are offprints or copies of Related and Reference Publications. Publications scheduled for publication or in submission should be copies of those manuscripts.

If a co-authored paper is added to Doctoral Thesis Assessment, the Co-author Consent Form (or Confirmation Form from your main academic advisor) and the Abstract of Co-authored Paper must be submitted.

⑥ Consent Agreement for Depositing Doctoral Dissertation

Read "III Deposition of the Doctoral Thesis" from page 21 before filling out the form.

(a) Submit the completed form with your signature and seal.

(b) If it is not possible to publish the thesis on the Internet within one year after you are conferred the degree due to some unavoidable reasons, provide the reasons and the date when you will be able to do so in the Conditions for Deposition section. A single application for a postponement of deposition for more than three years is not allowed. If you would like to extend the period, submit another application. Unless you apply for a period extension, your thesis will automatically be published on the Internet on the designated date.

(c) If all or part of the doctoral thesis has already been published or accepted for publication in an academic journal, provide specific details in the "Publication" section. If you have been accepted for publication and cannot publish within one year, indicate so in the "Conditions for Deposition" section. If you are unable to confirm whether or not it is possible to publish within one year, consult with the Academic Affairs Division.

If you plan to submit your thesis to an academic journal, indicate so in the "Conditions for Deposition" section and provide specific details such as the name of the journal.

(d) The "Handling of Copyright" section is for confirming the existence of copyright handling for the publication of the thesis. Check the box in the right column only if the item applies to you.

(e) In order to strengthen the system for preventing research misconduct, this university requires all doctoral theses to be screened for plagiarism using "iThenticate" prior to thesis assessment and final submission. After confirming that there are no problems with the results (Similarity Report), the academic advisor must sign and seal the Academic Advisor’s Confirmation" section.

(f) The paper size shall be A4, in portrait orientation.

Consent Agreement for Depositing Doctoral Dissertation

Date:

To the Director of the University Library,
To the Dean of the Graduate School of Natural Science and Technology, Shimane University,

Course

Student ID

Name (self-written) seal 印

I hereby give my consent to deposit my doctoral dissertation on the Shimane University Institutional Repository. I also agree to share the dissertation, when the unavoidable circumstances which have disabled me to do so are resolved.

<table>
<tr><td>Title of Dissertation in Japanese</td><td colspan="2"></td></tr>
<tr><td>Title of Dissertation in English</td><td colspan="2"></td></tr>
<tr><td>Conditions for Deposition</td><td colspan="2">☐ I agree to deposit my dissertation within one year after the conferral of the degree.

☐ Due to the following reasons, I request to deposit my dissertation on the designated date.
※ Note that a reason must be recognized “unavoidable” if delaying the deposition for more than one year after the conferral of the degree.
【Requested Date of Deposition】
【Reason】
☐ My dissertation includes content that is currently being submitted or will be submitted to academic journals in the future.
☐ The regulations of the journal in which part of my dissertation was published prevent me from depositing for a certain period of time.
☐ Other (Specify)</td></tr>
<tr><td rowspan="2">Handling of Copyright

Check the checkboxes in the right column.</td><td>If your dissertation contains citations from other works</td><td>☐ The sources have been clearly cited.</td></tr>
<tr><td>If you have co-authors for the dissertation and related papers</td><td>☐ All co-authors have agreed with this deposition. (Verbal consent is acceptable)</td></tr>
</table>

<table>
<tr><td rowspan="5">Publication

Check if the publisher's regulations on the use of copyrighted works permits the deposition of full-text or part of your dissertation on the institutional repository.

● This section needs to be filled if all or part of the dissertation has been published or accepted in an academic journal.

● Contact the University Library if you are unable to confirm the publisher's copyright policy.</td><td>Publication Progress</td><td>Copyright Policy</td></tr>
<tr><td>Journal Title:
ISSN:
Vol. No.:
Page No.:
Date of issue:
DOI:</td><td>☐ The dissertation can be shared within one year.
☐ The dissertation cannot be shared within one year.
Specify how many months the deposition on the institutional repository is restricted for, after the publication in the journal
Embargo period: months
☐ Sharing the dissertation is prohibited.
For the relevant peer-reviewed papers, check the copyright policy of the accepted version.</td></tr>
<tr><td>Journal Title:
ISSN:
Vol. No.:
Page No.:
Date of issue:
DOI:</td><td>☐ The dissertation can be shared within one year.
☐ The dissertation cannot be shared within one year.
Specify how many months the deposition on the institutional repository is restricted for, after the publication in the journal
Embargo period: months
☐ Sharing the dissertation is prohibited.
For the relevant peer-reviewed papers, check the copyright policy of the accepted version.</td></tr>
<tr><td>Journal Title:
ISSN:
Vol. No.:
Page No.:
Date of issue:
DOI:</td><td>☐ The dissertation can be shared within one year.
☐ The dissertation cannot be shared within one year.
Specify how many months the deposition on the institutional repository is restricted for, after the publication in the journal
Embargo period: months
☐ Sharing the dissertation is prohibited.
For the relevant peer-reviewed papers, check the copyright policy of the accepted version.</td></tr>
<tr><td>Journal Title:
ISSN:
Vol. No.:
Page No.:
Date of issue:
DOI:</td><td>☐ The dissertation can be shared within one year.
☐ The dissertation cannot be shared within one year.
Specify how many months the deposition on the institutional repository is restricted for, after the publication in the journal
Embargo period: months
☐ Sharing the dissertation is prohibited.
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英文学位記(副本)交付願書

Application for the English-translated Diploma of the Doctor's Degree

島根大学長　殿

To the President of Shimane University

申請者氏名 Name of the applicant

下記の記述のとおり，英文学位記(副本)を交付願います。

Please issue me the English-translated diploma of the Doctor's Degree. The description of the diploma is as follows.

氏　名 Name in full	
生 年 月 日 Date of birth	Year Month Day ／ ／
国　籍 Nationality	
学位の名称 Degree Please circle the degree you will take.	Doctor of Philosophy in Science Doctor of Philosophy in Engineering

III Deposition of Doctoral Thesis

In accordance with Articles 17 and 18 of the Degree Regulations of Shimane University, the thesis will be deposited on the Internet. The following is a summary of the contents of Articles 17 and 18 of the Degree Regulations of Shimane University and precautions related to the procedures. Carefully read them and follow the necessary procedures.

1 Articles 17 and 18 of the Degree Regulations of Shimane University

The main contents are as follows

① Your Doctoral Thesis Abstract and Summary of Thesis Assessment Results will be deposited on the Internet.
Within three months of the date of degree conferral, Shimane University will deposit them on the Internet. (Deposited on the Shimane University Web Archives of Knowledge "SWAN")

② The doctoral thesis (full text) will be deposited on the Internet in principle.
In principle, within one year of the date of degree conferral, your doctoral thesis will be deposited on the Internet by the university. The full text of your doctoral thesis will be widely accessible.

③ If you are unable to deposit the full text of your doctoral on the Internet within one year of the date of degree conferral.
In an exceptional case, if the university approves that the doctoral thesis cannot be deposited on the Internet within one year due to "unavoidable reasons," the Summary※of the doctoral thesis may be substituted for the full text of your doctoral thesis. However, when "unavoidable reasons" are resolved, the full text of your doctoral thesis shall be deposited on the Internet.
In this exceptional case, the doctoral thesis (printed or PDF※) will be housed at the Shimane University Library and the National Diet Library. Those who visit the library and wish to view your thesis will be allowed to do so.

"Unavoidable reasons" shall be the following cases

①When it cannot be deposited on the Internet because it contains expressions in three-dimensional form, etc.

②When it cannot be deposited on the Internet for more than one year from the date of degree conferral for reasons of copyright protection, or personal information protection.

③When the deposition of the full text of your doctoral thesis on the Internet will cause obvious disadvantages to those who conferred the doctoral degree in relation to publication, publication in an academic journal that prohibits multiple publications, application for a patent, etc., for a period exceeding one year from the date of degree conferral.

2 Copyright Processing subject to Deposition

・As academic publications, they must comply with copyright laws and necessary procedures, such as procedures for citing from other publications, and permission from the co-author for publication on the Internet.

・When citing figures, tables, and photographs used in a published paper, even if they are your own work, you must cite them appropriately by clearly indicating the source.

・If a part of your doctoral thesis has already been published or accepted for an academic journal, you must comply with the copyright policy of the publisher, academic conference, etc. So, indicate the name of the journal, etc. on the attached form (see pages 18-19) and confirm the copyright policy. If you are unable to confirm the publisher's copyright policy, contact the Academic Affairs Division.
・By deposition of your doctoral thesis, the content contained in it is considered already published. You may not be able to submit it to other journals. If there is a possibility that deposition of your doctoral thesis within one year of the conferral of your degree will cause you to suffer such disadvantages, deposition may be postponed for "unavoidable reasons". Indicate this in the "Conditions for Deposition" section of the designated form and provide the specific name of the journal to which you plan to submit the paper.
・In principle, related publications will not be deposited. If you wish to do, contact the Academic Affairs Division for a consultation.

3 Formats and Submissions of Electronic Data for Deposition

①If you can deposit the full text of your doctoral thesis on the Internet within one year of your degree conferment

The documents to be submitted for deposition other than for the doctoral thesis assessment are the Word data of the Doctoral Thesis Abstract and Summary of Thesis Assessment Result and the PDF※ of the full text of your doctoral thesis. Also, submit one printed copy (temporary binding is acceptable) to be housed at the university library.

②If you are unable to deposit the full text of your doctoral thesis on the Internet within one year of your degree conferment

If the university approves that you have "unavoidable reasons," submit PDF※of the Summary※ of your doctoral thesis in addition to the above ①.

If you are not able to submit PDF※of the full text of your doctoral thesis, submit one copy of the bound booklet to be kept at the National Diet Library.

※Notes on the format of PDF data

・Avoid referring to external sources such as external fonts. (The PDF must have embedded fonts.)
・Do not encrypt, set passwords, restrict printing, etc.
・PDF/A file format is recommended. (Recommended by the National Diet Library)
・The file size must be 30 MB or less.

※What is the Summary of the doctoral thesis?

The Summary is a brief, chapter-by-chapter summary of the contents of the thesis. It is different from the abstract which summarizes the core ideas of the thesis. However, the abstract may be substituted for a summary as long as the abstract provides a sufficient inference of the overall content of the thesis.

The paper size shall be A4, in portrait orientation. Submit the PDF to the Academic Affairs Division.

4 How to Deposit on the Internet

The Internet Deposition at Shimane University will be made through the Shimane University Web Archives of Knowledge “SWAN,” which is operated by the university library. The full text of your doctoral thesis registered in the SWAN is automatically collected by the National Institute of Informatics. For more information, visit the website of the National Diet Library.

Necessary Documents for Deposition of Doctoral Thesis

Necessary Documents	Possible to deposit the full text of the thesis within one year	Not possible to deposit the full text of the thesis within one year	Notes
Doctoral Thesis Abstract	Required	Required	Submit both printed and Word data
Summary of Thesis Assessment Results	Required	Required	Submit both printed and Word data
Full text of the doctoral thesis (PDF)	Required	Required *	*To be deposited on the Internet when “unavoidable reasons” are resolved.
Full text of the doctoral thesis (Temporary binding acceptable) 1 copy	Required	Required	To match with the electronic data and to be stored at the university library
Full text of the doctoral thesis (Bound) 1 copy	Not required	Required	To be housed at the National Diet Library (if full-text PDF cannot be submitted)
Summary of the doctoral thesis (PDF)	Not required	Required	A summary is an alternative to the full text of the doctoral thesis.
Consent Agreement for Depositing Doctoral Dissertation	Required	Required	If you are unable to deposit on the Internet within one year of degree conferment, you must still submit this statement of the reason for not being able to do so.

※Refer to pages 2 and 3 for other documents required for the doctoral thesis assessment in addition to those listed above.